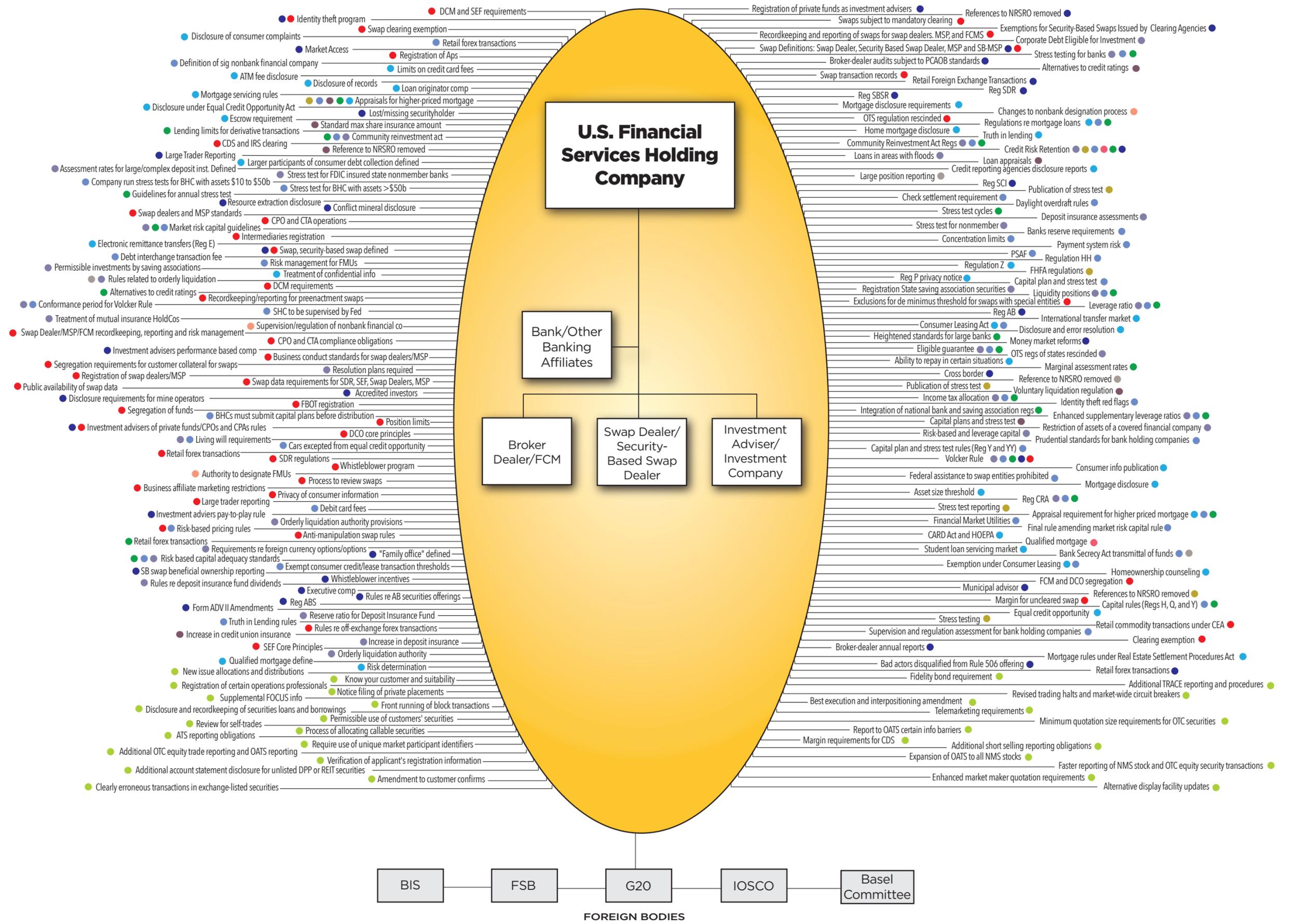


SEC Commissioner Daniel M. Gallagher

Rules Applicable to U.S. Financial Services Holding Company Adopted Since July 2010



Relevant Regulators Not Depicted

- US SROs (e.g., NYSE, MSRB, NFA)
- Foreign country regulation of domestic activity
- US States

| LEGEND | | |
|---|--|--|
| ● CFPB-Consumer Financial Protection Bureau | ● Treasury-Treasury Department | ● FFIEC-Federal Financial Institutions Examination Council |
| ● SEC-Securities and Exchange Commission | ● HUD-U.S. Department of Housing and Urban Development | ● FinCEN-Financial Crimes Enforcement Network |
| ● CFTC-Commodity Futures Trading Commission | ● OFR-Office of Financial Research | ● FINRA-Financial Industry Regulatory Authority |
| ● OCC-Office of the Comptroller of the Currency | ● NCUA-National Credit Union Administration | ● FHFA-Federal Housing Finance Agency |
| ● FDIC-Federal Deposit Insurance Corporation | ● FSOC-Financial Stability Oversight Council | ● FRB-Federal Reserve Board of Governors |